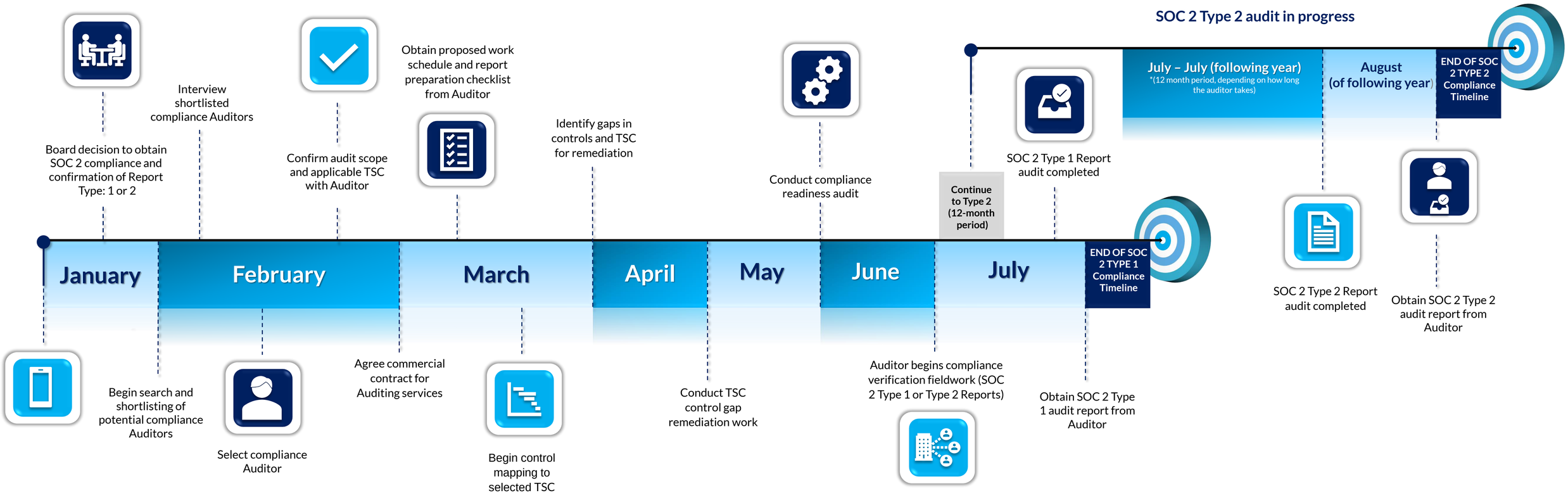
SOC 2 Type 2 audit in progress
Board decision to obtain SOC 2 compliance and confirmation of Report Type: 1 or 2
Interview shortlisted compliance Auditors
Confirm audit scope and applicable TSC with Auditor
Obtain proposed work schedule and report preparation checklist from Auditor
Identify gaps in controls and TSC for remediation
Conduct compliance readiness audit
Continue to Type 2 (12-month period)
SOC 2 Type 1 Report audit completed
July – July (following year)
*(12 month period, depending on how long the auditor takes)
August (of following year)
END OF SOC 2 TYPE 2 Compliance Timeline
SOC 2 Type 2 Report audit completed
Obtain SOC 2 Type 2 audit report from Auditor
January
February
March
April
May
June
July
END OF SOC 2 TYPE 1 Compliance Timeline
Begin search and shortlisting of potential compliance Auditors
Select compliance Auditor
Agree commercial contract for Auditing services
Begin control mapping to selected TSC
Conduct TSC control gap remediation work
Auditor begins compliance verification fieldwork (SOC 2 Type 1 or Type 2 Reports)
Obtain SOC 2 Type 1 audit report from Auditor